

# CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Name (Print/Type) Steven F. Goldstein Signature [Signature] Date 11-26-2001



## TRANSMITTAL

☐ Small Entity

☒ Large Entity

Application Number	09/803,719
Confirmation Number	9010
Filing Date	March 9, 2001
First Named Inventor	Lewis T. Williams et al.
Examiner	Unassigned
Group Art	1645
Attorney Docket No.	2300-1624

### ENCLOSED:

☐ Amendment Under Rule

☐ 37 CFR §

☐ Pages

#### Claims

No. of claims as  
filed or after  
amendment

Most claims  
previously  
paid for

# Extra Claims

Rate

Totals

Total	39	20	19	18	\$ 342.00
Independent	6		3	84	\$ 252.00
Multiple	1				\$ 280.00
Total Extra Claim Fees					\$ 874.00

☒ Applicants Petition for an Extension of time from 06-26-2001 to 11-26-2001 Fee \$1,960.00  
A    month extension was previously filed and paid for thereby reducing the basic fee

☒ Response to File Missing Parts (with copy of formalities letter)

☒ Filing Fee

Fee \$740.00

☒ Executed Declaration

Pages 8

Surcharge Fee \$130.00

☒ Other 1. Petition Under 37 CFR Section 1.47(A)

Fee \$130.00

2. Declaration by Nancy Swanson 3. Proof of Pertinent facts: a) Email of October 18, 2001 b) Email of October 1, 2001

Fee

c) November 12, 2001 Letter from Nancy Swanson to Michael A. Innis

Fee

d) Federal Express shipping receipt e) Email of November 20, 2001

Fee

Subtotal \$1,000.00

☐ Information Disclosure Statement

☐ PTO Form 1449

Pages

☐ Copies of Cited References

☐ Other

Fee

Subtotal \$ -

☐ Response to Notice to Comply (with copy of Notice to Comply)

☐ Sequence Listing Certification

☐ Paper Copy of Sequence Listing

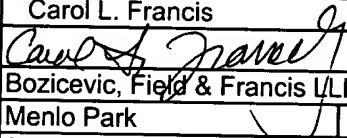
Pages

☐ Diskette in computer-readable format

☐ Other

Fee

01/16/2002 BHABTEW 00000037 500315 09803719 1960.00 CH 07 FC:128

<input type="checkbox"/> Terminal Disclaimer		Fee
<input type="checkbox"/> Appeal to Board of Appeals and Appeal Communication to Group		
<input type="checkbox"/> Notice of Appeal	Pages _____	Fee _____
<input type="checkbox"/> Appeal Brief in Triplicate	Pages _____	Fee _____
<input type="checkbox"/> Reply Brief	Pages _____	Fee \$ -
		Subtotal \$ -
<input type="checkbox"/> Other Enclosures and/or Fees _____		Fee _____
<input type="checkbox"/> Change of Correspondence Address		
<input checked="" type="checkbox"/> Return Receipt Postcard		<b>TOTAL FEES</b> \$ 3,834.00
<p>The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this transmittal is enclosed.</p>		
<b>SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED</b>		
Name (Print/Type)	Carol L. Francis	Registration No. 36,513
Signature		Date 11-26-2001
Firm Name	Bozicevic, Field & Francis LLP	Address 200 Middlefield Road, Suite 200
City	Menlo Park	State California zip 94025
Telephone - Direct Dial	650-327-3400	Facsimile 650-327-3231

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NO. 8359 P. 3  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/803,719	03/09/2001	Lewis T. Williams	2300-1624

CONFIRMATION NO. 9010

## FORMALITIES LETTER



\*OC000000006011334\*

 Chiron Corporation Intellectual Property -R440  
 PO Box 8097  
 Emeryville, CA 94662-8097

Date Mailed: 04/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$852.
  - \$342 for 19 total claims over 20.
  - \$240 for 3 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1692.

A copy of this notice **MUST** be returned with the reply.

 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/16/2002 BHABTEW 00000037 500815 09803719

01 FC:101	740.00	CH
02 FC:103	342.00	CH
03 FC:102	252.00	CH
04 FC:104	280.00	CH
05 FC:105	130.00	CH